

P&G Case 8382CL\$

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the application of

Jean-Philippe Marie Autran et al.

Confirmation No.

Serial No.

Group Art Unit

Filed June 20, 2003

Examiner

For Biodegradable Polyhydroxyalkanoate Copolymers Having Improved Crystallization Properties

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents

P. O. Box 1450

Alexandria, VA 22313-1450

Dear Sir:

Pursuant to 37 C.F.R. §§ 1.56, 1.97 and 1.98, record is being made below in a Form PTO/SB08 of documents which the Patent Office may wish to consider in connection with examination of the above-identified patent application. It is respectfully requested that the cited documents be carefully considered by the Examiner and made of record in this case. As provided in §1.97(g), no representation is made or intended that a thorough art search was made. As provided in 37 C.F.R. §1.97(h), this Information Disclosure Statement does not constitute an admission of any kind, and specifically is not an admission that the documents listed on attached form PTO/SB08 are, or are considered to be, material to the patentability of the above-identified patent application, as defined in 37 C.F.R. §1.56(b).

1. [X] $\underline{37}$ C.F.R. $\S1.97$ (b)(1) - U.S. Direct (within 3-months of filing a regular application or converted provisional)

This information disclosure statement, submitted under 37 C.F.R. §1.97 (b)(1), is being filed within three months of the filing date of a national application. Therefore, no fee is believed to be due.

[X] (2) Copies of the cited documents are enclosed.

Respectfully submitted,

Armina E. Matthews

Attorney or Agent for Applicant(s)

Registration No. 43,780

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Date: June 20, 2003

Customer No. 27752

PTO/SB08A/B (04-03)

Approved for use through 04/30/2003. OMB 0651-0031

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Substitute for form 1449A/PTO	COMPLETE IF KNOWN		
	Application Number		
INFORMATION DISCLOSURE	Confirmation Number		
STATEMENT BY APPLICANT	Filing Date	June 20, 2003	
(use as many sheets as necessary)	First Named Inventor	Autran et al.	
	Group Art Unit		
	Examiner Name		
SHEET 1 of 2	Attorney Docket Number	8382CL\$	

U. S. PATENT DOCUMENTS

EXAMINER INITIALS*	Cite No.1	DOCUMENT NUMBER Number - Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear
	1	US-5,693,389 A	12/2/1997	Liggat	Entire document
	2	US-5,498,692 A	3/12/1996	Noda	Entire document
	3	US-4,393,167	7/12/1983	Holmes et al.	
	4	US-4,880,592	11/14/1989	Martini et al.	
	5	US-5,292,860	3/8/1994	Shiotani et al.	
	6	US-4,876,331	10/24/1989	Doi	
	7	US-5,536,564	7/16/1996	Noda	
	8	US- 5,602,227	2/11/1997	Noda	
	9	US-5,685,756	11/11/1997	Noda	
	10	US-5,061,743	10/29/1991	Herring et al.	
	11	US-5,281,649	1/25/1994	Organ et al.	
	12	US-5,516,565	5/14/1996	Matsumoto	
	13	US-5,231,148	7/27/1993	Kleinke et al.	
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	15	US-4,537,738	8/27/1985	Holmes	
	16	US-5,578,382	11/26/1996	Waddington	
	17	US-5,138,029 (equivalent to EP 466 050)	8/11/1992	Nishioka et al	
	18	US-5,624,249 (equivalent to WO 94/27049)	4/29/1997	Rohlfing	

FOREIGN PATENT DOCUMENTS

EXAMINER	Cite No.1	FOREIGN PATENT DOCUMENT Country Code ³ Number ⁴ Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear	T ⁶
1	19	WO 02/28969 A	4/11/2002	Procter & Gamble	Entire document	
	. 20	EP 440 165 A2 (abstract only provided)	8/7/1991	Showa Denko KK		
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آسرة	23	WO 96/09402	3/28/1996	Zeneca Limited		
سبر ا	24	WO 94/17121	8/4/1994	Zeneca Limited		
1	25	WO 94/28047	12/8/1994	Zeneca Limited		
1	26	WO 94/27048	11/24/1994	Disogyori Szivatiyugyar KFT	·	

NON PATENT LITERATURE DOCUMENTS

EXAMINER INITIALS*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published			
	27	Organ, B., Phase separation in a blend of poly(hydroxybutyrate) with poly (hydroxybutyrate-co-valerate), Polymer, Vol. 34, No. 3, 1993, pp. 459-467			
اسا	28	Wthey, H., The effect of seeding on the crystallization of poly(hydroxybutyrate) and co- poly(hydroxybutyrate-co-valerate), Polymer, Vol. 40, 1999, pp. 5147-5152			
1/	29	Mater, J., Nucleation behavior of poly-3-hydroxybutyrate, Sci. 19, p. 3826, (1984) c'			
	30	Mater, J., Sci. 27, p. 3239 (1992) ⁵			
i.	31	Hobbs, et al., <i>The effect of water on the crystallization of thin films of poly(hydroxybutyrate)</i> . Polymer (1997) 38, #15, pp. 3879-3883			
L	32	Marchessault et al., <i>Biorefinery polymers in search of applications</i> , Makromol. Chem., Makromol. Symp. 19, p. 235-254 (1988)			
L	33	Horowitz et al., Phase separation within artificial granules from a blend of polyhydroxytbutyrate and polyhydroxyoctanoate: biological implications, Polymer (1994) 35, , #23, pp. 5079-5083			
l	34	deKoning et al., <u>Crystallization phenomena in bacterial poly[(R)-3-hydroxybutyrate]: 2. Embrittlement and rejuvenation</u> , Polymer (1993) 34, #19, pp. 4089-4094			
	35	deKoning et al., Crystallization phenomena in bacterial poly[(R)-3-hydroxybutyrate]: 3. Toughening via texture changes, Polymer (1994) 35, # 21 pp. 4598-4605			
	36	Biddlestone et al., <i>The Physical Ageing of Amorphous Poly(hydroxybutyrate)</i> , Polymer International 39, (1996) pp. 221-229			
EXAMINER	1	DATE CONSIDERED			

EXAMINER: Initial if reference considered, whether or not citation is in conformance with M.P.E.P. 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional). ²See Kind Codes of U.S. Patent Documents at www.uspto.gov or MPEP 901.04. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

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